

ANNUAL RISK MANAGEMENT REPORT 2016/17

Appendix 1



Summary Risk Register

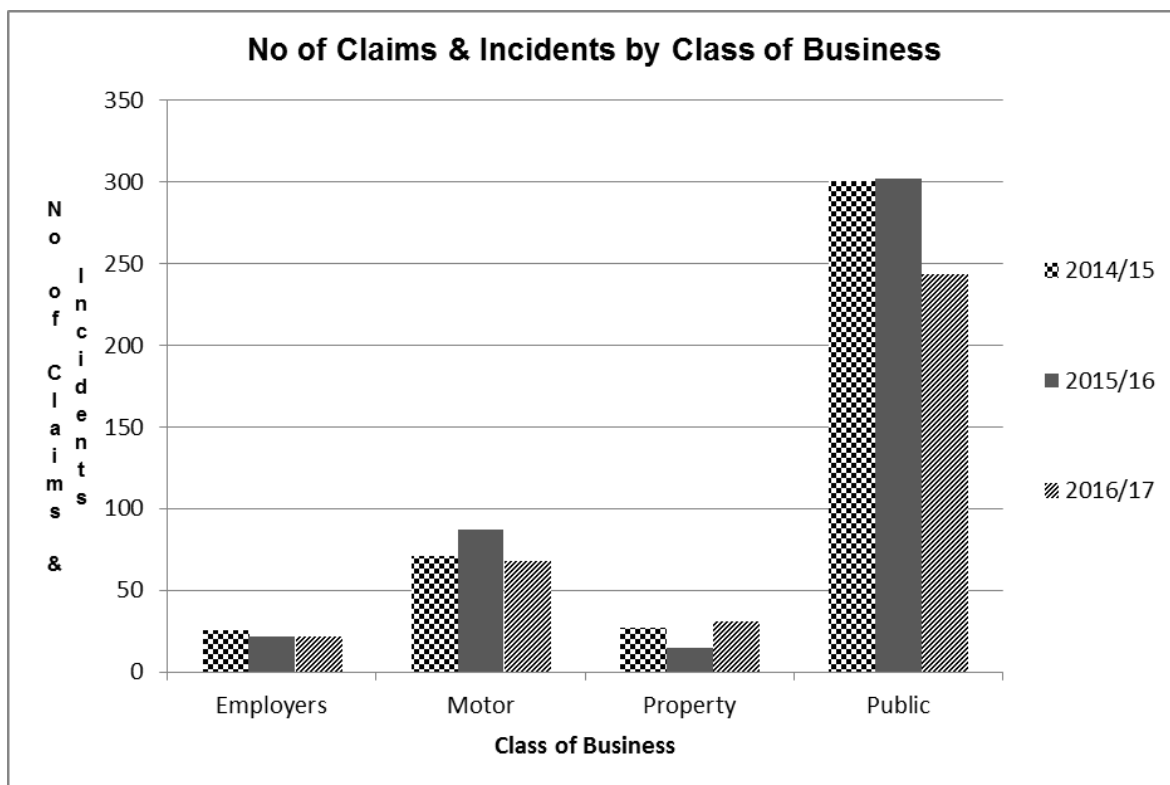
Update
Create
Insert

Directorate: _____
 Department: Corporate Risk Register
 Service: _____
 Quarter and Year: Quarter 4 - 2016/17 Date of last review: 31-Dec-16
 Date: 31-Mar-17 Date of next review: 30-Jun-17

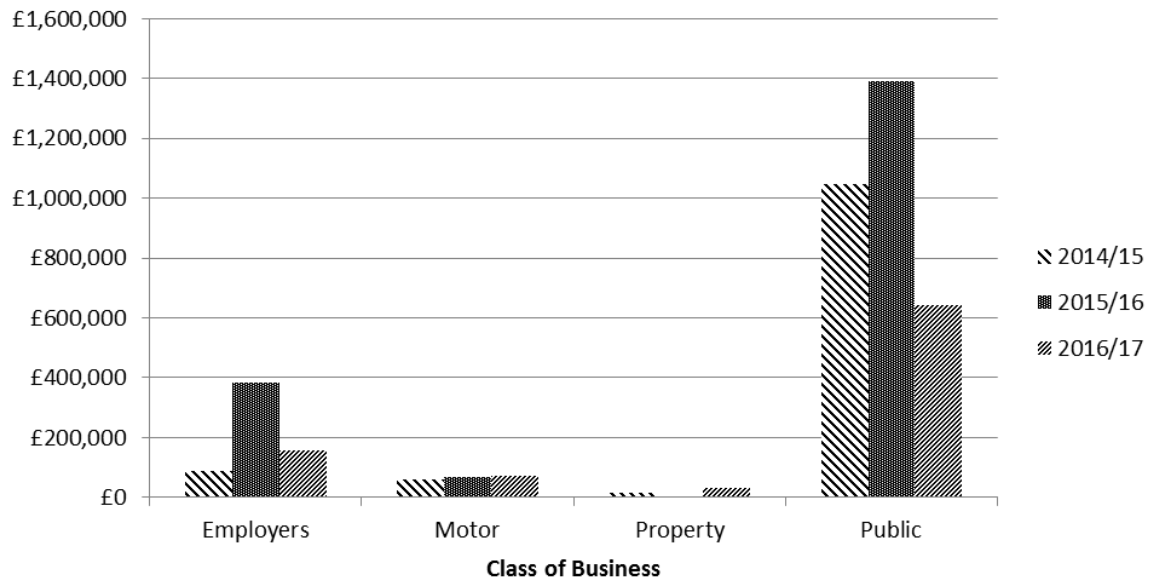
Risk N	Risk Description	Date Raised	Strength of Existing Controls	Inherent			Residual			Target			Risk Owner(s)	Key Contact(s)	Risk Status	Last Risk Review Date	Previous Residual			Change in Score
				L	I	Risk Rating	L	I	Risk Rating	L	I	Risk Rating					L	I	Risk Rating	
1	Failure to deliver a balanced budget and Medium Term Financial Strategy may result in a Government Commission taking control of the authority's finances	26-Jan-15	Fair	5	5	HIGH	2	3	LOW	1	2	LOW	Louise Mattinson	Simon Ross, Zoe Evans	Open	12-Jan-17	2	3	LOW	-
2	Failure of the assets or failure to manage these in a proactive and co-ordinated way (Assets include Buildings, Infrastructure)	25-May-11	Fair	3	5	HIGH	2	4	MEDIUM	2	2	LOW	Denise Park	Brian Bailey, Andrew Bond, Martin Eden	Open	03-Feb-17	2	4	MEDIUM	-
3	IT Infrastructure (Resilience) - OTH	20-Aug-13	Good	4	3	MEDIUM	3	3	MEDIUM	3	1	LOW	Louise Mattinson	Shane Agnew	Closed	15-Nov-16	3	3	MEDIUM	-
4	The Council is not able effectively influence and shape new partnership structures to respond to changes occurring in the public sector. The arrangements in place may not be appropriate, properly initiated and controlled or may not respond effectively to deliver key priorities or corporate objectives.	07-Feb-12	Good	3	3	MEDIUM	2	3	LOW	2	2	LOW	Executive Team	Alison Schmid	Open	01-Mar-17	2	3	LOW	-
5	There is a risk that governance and decision making arrangements fail	25-May-11	Good	2	4	MEDIUM	2	2	LOW	1	1	LOW	Harry Catherall	Denise Park, David Fairclough.	Open	19-Apr-17	2	2	LOW	-
6	Failure to deliver the management, workforce and organisational objectives for workforce reviews within the agreed budget.	17-Oct-16	Good	4	4	HIGH	1	3	LOW	1	2	LOW	Management Board	David Fairclough	Open	19-Apr-17	1	3	LOW	-
7	Ensure that the Council delivers its statutory function Civil Contingencies by Emergency Preparedness, Planning, Response, Recovery and Business Continuity Promotion in order to protect the Community and enhance the resilience of the Council, mitigate reputational and financial damage. Corporate Objectives at risk - 1,2,5,6.	25-May-11	Good	4	5	HIGH	1	5	LOW	1	5	LOW	Harry Catherall	Sayyed Osman, Rachel Hutchinson, Sarah Riley	Open	14-Sep-16	1	5	LOW	-
7b	Ensure that the council delivers its statutory function of Civil Contingencies by ensuring the Council has Business Continuity Management arrangements in place, planning, training testing and validating and also promoting business continuity to external small and medium sized businesses. This will protect the community, enhance the resilience of the Council and mitigate financial and reputational damage. Corporate Objectives 1,2,5,6 link	22.09.16	Good	3	4	MEDIUM	1	4	LOW	1	3	LOW	Harry Catherall	Sayyed Osman, Rachel Hutchinson, Sarah Riley	Open	30-Nov-16	1	4	LOW	-
8	Failure to contribute effectively to economic growth within Blackburn with Darwen	25-May-11	Good	3	5	HIGH	3	4	MEDIUM	2	2	LOW	Denise Park	Brian Bailey	Closed	29-Nov-16	3	4	MEDIUM	-
9	Failure to improve health outcomes within Blackburn with Darwen could result in the communities' health and wellbeing position or conditions deteriorating.	25-May-11	Good	3	4	MEDIUM	2	4	MEDIUM	1	3	LOW	Dominic Harrison	Gifford Kerr	Open	20-Mar-17	2	4	MEDIUM	-
10	Failure to maintain community cohesion, mitigate extremism and counter terrorism	07-Feb-12	Good	4	5	HIGH	2	3	LOW	1	3	LOW	Sayyed Osman	Imran Akuj/Mark Aspin	Open	26-Jan-17	2	3	LOW	-
11	Failure to improve the education and skills for our young people	20-Aug-13	Good	4	4	HIGH	3	3	MEDIUM	2	3	LOW	Linda Clegg	Jessica Byrne	Open	21-Mar-17	3	3	MEDIUM	-
12	The Council does not effectively capitalise on potential opportunities to improve housing quality or build more houses in the Borough to maximise the income available from the new homes bonus and increased council tax	20-Aug-13	Good	4	4	HIGH	3	3	MEDIUM	2	2	LOW	Brian Bailey	David Proctor	Closed	29-Nov-16	3	3	MEDIUM	-
13	Failure to prevent data loss and privacy incidents (Information Governance) leading to financial/Data loss, disruption or damage to the reputation of the Council	26-Sep-14	Good	5	4	HIGH	4	2	MEDIUM	3	2	LOW	Louise Mattinson	Shane Agnew, Sarah Slater	Open	29-Mar-17	4	2	MEDIUM	-
14	High profile serious/critical safeguarding incident/case that is known to Council services.	20-Aug-13	Good	5	5	HIGH	3	5	HIGH	3	5	HIGH	Steve Tingle (DAS) / Linda Clegg (DCS)	Paul Lee	Open	30-Mar-17	3	5	HIGH	-
15	Failure, at a corporate level, to comply with Health & Safety legislation and provide both a safe working environment for employees and the provision of a safe environment for service users.	19-Mar-15	Fair	4	4	HIGH	2	3	LOW	2	3	LOW	David Fairclough / Brian Bailey	Lorraine Nicholls	Open	19-Apr-17	2	3	LOW	-
16	Failure to deliver a robust Medium Term Financial Strategy (MTFS) with adequate reserves to meet unforeseen circumstances and with the resource capacity to deliver statutory services.	01-Dec-15	Good	5	5	HIGH	2	3	LOW	2	2	LOW	Denise Park	Louise Mattinson	Closed	12-Jan-16	2	3	LOW	-
17	Cyber Risk - Risk of financial/Data loss, disruption or damage to the reputation of an organisation from compromise of its IT systems.	15-Mar-16	Good	5	5	HIGH	3	4	MEDIUM	2	4	MEDIUM	Louise Mattinson	Shane Agnew	Open	27-Mar-17	3	4	MEDIUM	-
18	Insufficient budget for service delivery if MTFS income targets from the Growth Agenda are not met.	29-Nov-16	Good	4	4	HIGH	3	4	MEDIUM	1	1	LOW	Brian Bailey	David Proctor Andrew Bond	Open	01-Feb-17	3	4	MEDIUM	-

Insurance Claims Statistics and Trends

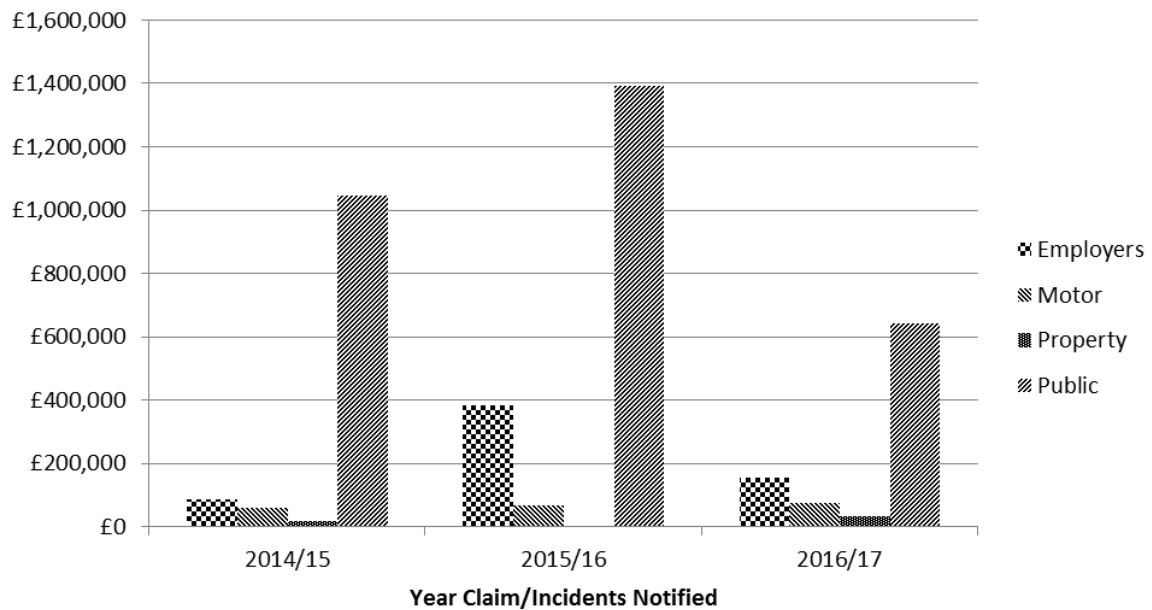
Policy	2014/15		2015/16		2016/17		Totals	
	No	Value	No	Value	No	Value	No	Value
Employers	26	£84,682	22	£381,459	22	£153,409	70	£619,550
Motor	71	£60,230	87	£66,187	68	£72,067	226	£198,484
Property	27	£15,723	15	£3,765	31	£31,452	73	£50,941
Public	301	£1,045,923	302	£1,389,725	244	£641,548	847	£3,077,196
Totals	425	£1,206,559	426	£1,841,135	365	£898,477	1,216	£3,946,171



Estimated Cost of Claims & Incidents by Class of Business



Estimated Total Cost of Claims & Incidents (Payments & Reserves) Notified by Year



Outcome of Cases Listed for Trial

